

TRANSMITTAL FORM

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|----------------------|---------------|
| Application No. | 09/874,174 |
| Filing Date | June 4, 2001 |
| First Named Inventor | Jiro Kajiwara |
| Examiner Name | E. Morgan |
| Group Art Unit | 3723 |
| Attorney Docket No. | A-70092/RMA |

ENCLOSURES (check all that apply)

| | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) 17 pages | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Extension of Time Request (1 mo.) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | ■ RETURN POSTCARD |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Terminal Disclaimer | |
| <input type="checkbox"/> Information Disclosure Statement; PTO 1449 & 3 References | <input type="checkbox"/> Request for Refund | |

☒ The Commissioner is hereby authorized to charge the fees due for this submission to Deposit Account No. 50-2319 (Order No. A-70092/RMA)

FEE CALCULATION

EXTRA CLAIM FEES

| Claims Remaining after Amendment | Highest Number Previously Paid for | Present Extra | Fee | Additional Fee |
|--|------------------------------------|---------------|------|----------------|
| Total | - | = | x 18 | = |
| Indep. | - | = | x 86 | = |
| First Presentation of Multiple Dependent Claim | | | x | = |

Fee for additional claims

Fee for 1-month extension of time

TOTAL FEE ENCLOSED

\$

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-------------------------|--|--|
| Firm or Individual name | R. Michael Ananian, Reg. No. 35,050 DORSEY & WHITNEY LLP 4 Embarcadero Center, Suite 3400 San Francisco, CA 94111 | Telephone: 650-494-8700 Fax: 650-494-8771 |
| Signature | | |
| Date | December 13, 2004 | |

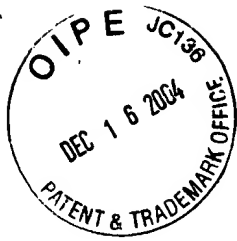
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450, on this date:

DECEMBER 13, 2004

Typed or printed name R. MICHAEL ANANIAN

Signature



37234
Patent

Attorney Docket No. A-70092/RMA (469090-14)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re application of: KAJIWARA, et al. Serial No.: 09/874,174 Filing Date: 4 JUNE 2001 For: CHEMICAL MECHANICAL POLISHING APPARATUS AND METHOD HAVING A RETAINING RING WITH A CONTOURED SURFACE | Examiner: MORGAN, EILEEN P Group Art Unit: 3723 Confirmation No. 7640 <u>CERTIFICATE OF MAILING</u> I hereby certify that this correspondence and its listed enclosures is being deposited with the United States Postal Service as First Class Mail addressed to Commissioner for Patents; P.O. Box 1450; Alexandria, VA 22313-1450 on December 13, 2004. Signed <u>R. Michael Ananian</u> Name <u>R. Michael Ananian</u> Reg. #35,050 |
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AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Following is Applicants' response to the Office Action mailed 12 August 2004 and includes a Petition for a One-Month Extension of Time to extend the due date to Monday, 13 December 2004.

Please amend the application as indicated on the following pages, and consider the remarks herein. While Applicant believes that no further fees are due at this time, the Commissioner is authorized to charge any fees that may be due as a result of filing this amendment and petition, including additional claims fees not already paid for, or other fees that have not been separately paid, to Deposit Account 50-2319 (Order No. A-70092/RMA (469090-14)).

Amendments to the claims begin at page 2.

Remarks begin at page 12.